

#4
3/24/04
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No: 10/047,553
Applicant: George Lownes
Filed: October 26, 2001
Title: METHOD OF PROVIDING A CODE UPGRADE TO A HOST DEVICE HAVING A
SMART CARD INTERFACE
TC/A.U.: 2611
Examiner: Andrew I. Faile
Docket No.: MATP-616US

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. A copy of each of the listed references is also enclosed.

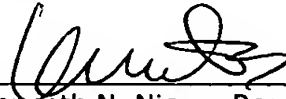
Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

These references were cited by a foreign patent office in a counterpart foreign application. A copy of this English language search report, indicating the degree of relevance determined by the foreign patent office is submitted herewith. MPEP Section 609A(3), second paragraph.

This Information Disclosure Statement is being filed before mailing of the first Official Action. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,



Kenneth N. Nigon, Reg. No. 31,549
Attorney(s) for Applicant(s)

KNN/tmb

Enclosures: PTO Form 1449
(4) References
Search Report

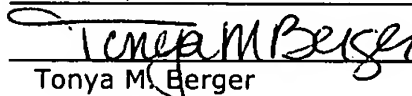
Dated: March 17, 2004

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(610) 407-0700

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March 17, 2004



Tonya M. Berger



(AW 08/03)

Substitute for Form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/047,553
Filing Date	October 26, 2001
First Named Inventor	George Lownes
Art Unit	2611
Examiner Name	Andrew I Faile
Attorney Docket No.	MATP-616US

SHEET 1 of 2

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US-			
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
		WO 93/07715	04/15/1993	Thomson Consumer Electronics S.A.	Page 3 - Page 4; Page 6	<input type="checkbox"/>
		EP 0 905 984 A2	03/31/1999	Matsushita Electric Industrial Co., Ltd.	Col. 5, line 17- - line 21	<input type="checkbox"/>
		EP 0 914 001 A1	05/06/1999	Canal+Societe Anonyme	Paragraph [0034]; Paragraph [0056]	<input type="checkbox"/>
		WO 01/20899 A1	03/22/2001	Koninklijke Philips Electronics N.V.	Abstract; Page 7, line 15	<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

¹Applicant's unique citation designation number (optional).

²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

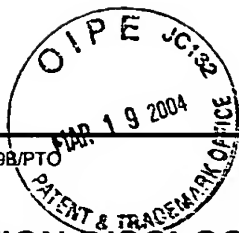
⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

⁶Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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(AW 08/03)

Substitute for Form 1449B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/047,553
Filing Date	October 26, 2001
First Named Inventor	George Lownes
Art Unit	2611
Examiner Name	Andrew I. Faile
Attorney Docket No.	MATP-616US

SHEET 2 of 2

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		European Search Report, February 10, 2004	<input type="checkbox"/>
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Examiner Signature	Date Considered
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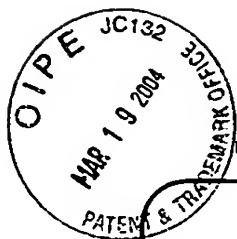
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2611

PTO/SB/21 (02-04) (AW 02/2004)

Approved for use through 7/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 5 + 4 references

Application Number 10/047,553

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First Named Inventor George Lownes

Art Unit 2611

Examiner Name Andrew I. Faile Technology Center 2600

Attorney Docket No. MATP-616US

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ENCLOSURES (Check all that apply)☐ Fee Transmittal Form☐ Fee Attached☐ Amendment/Reply☐ After Final☐ Affidavits/Declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☒ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/
Incomplete Application☐ Response to Missing Parts under
37 CFR 1.52 or 1.53☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a
Provisional Application☐ Power of Attorney, Revocation,
Change of Correspondence
Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s) _____☐ After Allowance Communication
to Group☐ Appeal Communication to Board
of Appeals and Interferences☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply
Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s) (please
identify below): PTO Form
1449; Copies of 4 cited
references**Remarks:****SIGNATURE OF APPLICANT, ATTORNEY OR AGENT**Firm or
Individual
Name

Kenneth N. Nigon

Registration No. (Attorney/Agent)

31,549

Signature

Date

March 17, 2004

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Tonya M. Berger

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Tonya M. Berger

Date

March 17, 2004

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